# Manage performance in commissioning, procurement and contracting for your area of responsibility



#### **Overview**

This standard identifies the requirements when managing the performance of commissioning services in your area of responsibility. It includes identifying and using performance indicators and measurement methods to evaluate the performance of the service provision.

This NOS is relevant to an individual undertaking commissioning, procurement and contracting in relation to care services.

# Manage performance in commissioning, procurement and contracting for your area of responsibility



### Performance criteria

### Identify indicators of the performance of your service and methods to measure these

#### You must be able to:

- P1 support **key partners** to develop an understanding of the legislative, regulatory, registration and inspection requirements to measure the performance of your service
- P2 work with key partners and colleagues to identify how performance should be measured, to include the achievement of outcomes, concerns, complaints and whistleblowing
- P3 analyse how information produced by regulators can be used to monitor and measure the performance of your service
- P4 use a **range of sources** to inform the development of performance indicators
- P5 work with key partners to develop performance indicators
- P6 provide information on agreed performance indicators to **individuals**, **key people** and **stakeholders** in an accessible format
- P7 identify appropriate data collection methods for the objective measurement of performance
- P8 agree with key partners how they will participate in measuring performance for your area of responsibility

### Manage the implementation of systems, procedures and practice to monitor and measure the performance of your service

#### You must be able to:

- P9 implement systems, procedures and practice to monitor and measure the progress of your service against agreed performance indicators
- P10 implement systems, procedures and practice to collect **quantitative** and **qualitative** information and data on the performance of your service
- P11 support key partners, individuals, key people and stakeholders to contribute to the monitoring and measuring of the quality of your service against agreed indicators
- P12 ensure that colleagues use systems, procedures and practice to monitor and measure the performance of your service against agreed indicators
- P13 ensure the continuous collection of information and data to provide a baseline against which performance can be measured and trends identified

# Manage performance in commissioning, procurement and contracting for your area of responsibility



P14	identify the impact on your service of the functions and priorities of
	partner organisations

work with partner organisations to minimise any negative impact caused by their functions and priorities on the performance of your service

### Evaluate the performance of your service against agreed indicators

#### You must be able to:

- P16 analyse the quantitative and qualitative information and data collected from the performance monitoring
- P17 interpret the analysis of the data collected to report on performance indicators that have been met and areas for improvement
- P18 identify changes required to meet areas that need to be improved
- P19 identify the resources required to implement recommended changes
- P20 agree recommended changes with key partners including individuals and key people

### Evaluate performance measurement systems, procedures and practice

#### You must be able to:

- P21 analyse the effectiveness of systems, procedures and practice used to monitor and measure the performance of your service
- P22 interpret the analysis of systems, procedures and practice to make recommendations for improvement
- P23 lead work with individuals, key people and other stakeholders to revise systems, procedures and practice used to monitor and measure the performance of the service, based on recommendations for improvement
- P24 evaluate the effectiveness of performance indicators used for performance measurement
- P25 work with individuals, key people and stakeholders to revise performance indicators for the future monitoring and measurement of the performance of your service

# Manage performance in commissioning, procurement and contracting for your area of responsibility



Knowledge and understanding	Spec	ific to this NOS
You need to know and understand:	K1	critically evaluate theories, methods and models of performance management, quality assurance and control
	K2	procedures, criteria, methods and indicators relevant for the performance measurement of the service provision including regulatory and registration requirements
	K3	how to collect, critically analyse and interpret quantitative and qualitative data that contributes to performance management
	Right	es e
You need to know and understand:	K4	legal and work setting requirements for equality, diversity, discrimination and rights
	K5	legal and work setting requirements for complaints and whistle blowing
	K6	your role and the roles of others in promoting <b>co-productive</b> commissioning
	K7	the role of service providers and partner agencies in promoting the rights, choices, wellbeing and active participation of <b>individuals</b> , <b>key people</b> and communities
	K8	how to address conflicts and dilemmas about rights and discrimination
	K9	your duty to report any acts or omissions poor or discriminatory practice, resources or operational difficulties that could infringe the rights of individuals, key people and communities
	Safeç	guarding
You need to know and understand:	K10	legislation, national policy, frameworks, local systems and multi- disciplinary procedures relating to the safeguarding and protection of children, young people and adults
	K11	the responsibility that everyone has to raise concerns about possible harm or abuse, poor or discriminatory practices

# Manage performance in commissioning, procurement and contracting for your area of responsibility



K12	indicators of potential harm or abuse	
K13	how and when to escalate any concerns about harm or abuse,	
	including whistleblowing	
K14	how to support others who have expressed concern about harm or	
	abuse	
K15	what to do if you have reported concerns but no action is taken to	
	address them	
Sustainability		
K16	how to evaluate the benefits of working in a politically,	
	economically, sociologically, technologically, legally and	
	environmentally sustainable way	
K17	how to promote your organisation's political, economic, sociological,	

### You need to know and understand:

# economically, sociologically, technologically, legally and environmentally sustainable way K17 how to promote your organisation's political, economic, sociological technological, legal and environmental responsibilities K18 how to evaluate the sustainability of commissioned services K19 how to develop sustainable new ideas in your area of responsibility

### Partnership working

You	need	to	know
and	under	sta	and:

K20	how collaborative and integrated working can be used to maximise
	resources
K21	how to promote co-productive commissioning
K22	how to work with individuals, key people and communities through co-
	productive commissioning, procurement and contracting
K23	how to support the interests of individuals and other stakeholders
K24	how to engage with social care and procurement professionals during
	commissioning, procurement and contracting activities
K25	how to analyse the priorities, interests and contributions of
	stakeholders and their impact on partnership working
K26	how to analyse the drivers and constraints that impact on businesses
	and third sector organisations
K27	the business processes and operational realities of service
	providers
K28	how to influence the work of the partnership to meet agreed
	outcomes

# Manage performance in commissioning, procurement and contracting for your area of responsibility



K29	how to use and develop integrated policies, procedures, guidance
	and protocols with others involved in partnerships
K30	the statutory and financial constraints for agreeing budgets to
	support partnership working
K31	how to evaluate effective partnership working

### **Risk management**

You	need	to	know
and	under	sta	and:

- K32 how to analyse the risks involved in commissioning, procurement and contracting for your area of responsibility
- K33 methods of managing and mitigating the risks involved in commissioning, procurement and contracting for your area of responsibility
- K34 how to develop practice that facilitates positive risk-taking

### Your practice

### You need to know and understand:

- K35 European, UK and country specific legislation, statutory codes, standards, regulations, frameworks and guidance relevant to commissioning, procurement and contracting relevant to your area of responsibility
- K36 European, UK and country specific legislation, statutory codes, standards, frameworks and guidance relevant to service providers and partner agencies
- K37 how to access accurate interpretations of legal and regulatory requirements
- K38 how to use analysis from lessons learned from government reports, research and inquiries into serious failures of health or social care practice and from successful interventions
- K39 how your role fits within your organisation and where you can go to for support
- K40 how to identify priorities and contribute to priority setting
- K41 how to evaluate the impact of commissioning, procurement and contracting activities on individuals, key people and communities
- K42 how to evaluate different methods and approaches of measuring the achievement of outcomes

# Manage performance in commissioning, procurement and contracting for your area of responsibility



	•
K43	how to evaluate the importance of preventative and community
	based provision
K44	how to manage agreed transformations for service provision
K45	techniques for problem solving and innovative thinking
K46	how to manage budgets and resources
K47	how to identify and manage ethical conflicts and dilemmas in your
	work
K48	your own background, experiences and beliefs that may have an
	impact on your practice
K49	how to use <b>evidence based practice</b> to justify your actions and
	decisions
K50	how to contribute to the development of systems, practices, policies
	and procedures
Theor	y for practice
THEO	y for practice
K51	how to evaluate the impact of social, medical and business
	models on the achievement of outcomes
K52	how to evaluate the impact of organisational structure and culture
	upon how flexibly and innovatively resources can be used
K53	how to evaluate theories and approaches to management relevant to
	your area of responsibility
Perso	nal and professional development
175.4	
K54	how to promote reflective, person centred, evidence based practice

### You need to know and understand:

You need to know

and understand:

K54	how to promote reflective, person centred, evidence based practice
K55	your role in sharing and developing knowledge and practice with
	others, including individuals, key people and communities
K56	how to manage time and workload
K57	how to provide constructive feedback
K58	how to identify and access opportunities for professional development
K59	how to develop professional knowledge and practice through
	reflective supervision and appraisal

# Manage performance in commissioning, procurement and contracting for your area of responsibility



#### Communication

### You need to know and understand:

- K60 how to use communication as a foundation for co-productive commissioning
- K61 how to manage and promote effective communication with **colleagues**, individuals and other stakeholders

### Handling information

### You need to know and understand:

- K62 legal requirements, policies, procedures and protocols for the security and confidentiality of information, taking account of commercial sensitivity and procurement practice
- K63 legal and work setting requirements for recording information and producing reports within timescales
- K64 how to identify, collect, analyse, measure and assess data
- K65 methods of making data, information and analysis accessible for individuals, key people and other stakeholders including decision makers
- K66 how and where electronic communications can and should be used

### **Health and Safety**

### You need to know and understand:

K67 legal and work setting requirements for health, safety and security in the work environment

# Manage performance in commissioning, procurement and contracting for your area of responsibility



#### Additional information

Scope / range related to performance criteria:

The details in this field are explanatory statements of scope and/or examples of possible contexts in which the NOS may apply; they are not to be regarded as range statements required for achievement of the NOS.

Where an individual finds it difficult or impossible to express their own preferences and make decisions about their life, achievement of this standard may require the involvement of advocates or others who are able to represent the views and best interests of the individual.

Where there are language differences within the work setting, achievement of this standard may require the involvement of interpreters or translation services.

**Key partners** might include public or third sector partners, individuals, key people, community representatives and other stakeholders.

A **range of sources** would include, legislative, regulatory, commissioning and organisational requirements; recognised performance management standards; historical performance measurement information; current research and evidence based practice.

The **individual** is the adult, child or young person receiving a service.

**Key people** are those who are important to an individual and who can make a difference to his or her well-being. Key people may include family, friends, carers and others with whom the individual has a supportive relationship.

**Stakeholders** are individuals and other people who have an interest in or are in some way affected by your work. They include service providers from the statutory, third or business sectors; regulators; colleagues and other professionals whose work contributes to the individual's well-being and who enable you to carry out your role; commissioning partners or those who commission services from the same provider, whether or not they are joint arrangements.

# Manage performance in commissioning, procurement and contracting for your area of responsibility



**Qualitative** subjective data that describes an individual's or group's thoughts and feelings about a topic, process, initiative or aspect of the service or provision. It will include descriptive accounts elicited from individuals, focus groups, interviews and consultations.

**Quantitative** numerical and statistical data collected about a topic, process, initiative or aspect of the service or provision.

# Manage performance in commissioning, procurement and contracting for your area of responsibility



Scope / range related to knowledge and understanding: The details in this field are explanatory statements of scope and/or examples of possible contexts in which the NOS may apply; they are not to be regarded as range statement required for achievement of the NOS.

### All knowledge statements must be applied in the context of this standard.

The process of **co-production** involves developing relationships to collaborate with individuals, local people, community groups and organisations. It involves using and developing people's skills and abilities throughout all commissioning, procurement and contracting activities, including designing and delivering services. It places individuals, key people and communities at the centre of decision making and control, taking account of the roles that people want to take.

The **individual** is the adult, child or young person receiving a service.

**Key people** are those who are important to an individual and who can make a difference to his or her well-being. Key people may include family, friends, carers and others with whom the individual has a supportive relationship.

The political, economic, sociological, technological, legal and environmental model (also known as the PESTLE model) is used to analyse the influences that an organisation has on its environment, both now and in the future. It is used to inform decisions and enable the organisation to respond to change.

An **organisation** is used to mean the organisation for which you work or volunteer, or which you own or run. For people funding their own services or using other self directed support, it means you and the people who may work for you.

**Collaborative and integrated working** describes a range of ways in which two or more organisations can work together, for example health and social services working together or regional collaboratives. They can be formal or

# Manage performance in commissioning, procurement and contracting for your area of responsibility



informal, temporary or permanent and may include the agreement of budgets.

The **priorities and interests** of stakeholders encompass the outcomes sought and are influenced by different philosophies, principles, priorities and codes of practice and are affected by their differences in size, structure, governance and capacity. They may change over time in response to national and local factors.

**Stakeholders** are individuals and other people who have an interest in or are in some way affected by your work. They include service providers from the statutory, third or business sectors; regulators; colleagues and other professionals whose work contributes to the individual's well-being and who enable you to carry out your role; commissioning partners or those who commission services from the same provider, whether or not they are joint arrangements.

**Business processes** describe the systems and tasks that organisations undertake to be able to provide the required service.

The **operational realities** of service providers are the factors that impact on how they are able to run their services, in particular where there is competition for funding and customers.

**Outcomes** are the desired result of the activity for individuals, key people and communities. They move the focus from the processes, transactions and performance management to the differences that provision can actually make to people's lives. It underpins citizen centred commissioning by placing individuals in a central role in evaluating the effectiveness of commissioning.

**Agreeing budgets** involves combining or pooling budgets within or between organisations, for example using local authority and continuing healthcare funding, for joint commissioning or regional/collaborative purchasing.

**Evidence based practice** uses systems, processes and 'practice wisdom' that has been proved to be effective in supporting the achievement of positive

# Manage performance in commissioning, procurement and contracting for your area of responsibility



outcomes. Evidence may have been drawn from a variety of sources: research, both formal and informal, and the views and opinions of individuals, key people and other stakeholders involved in the delivery of care services.

The **social model** describes disability as a series of barriers located in society and not an individual, for example attitudes towards people in need or physical barriers. The **medical model** described illness or disability as an inherent part of the individual, and as such would attempt to treat or cure the person.

**Business models** refers to an organisation's need to function as a business within financial constraints and in some cases to make profit, for example social enterprises and private businesses.

**Colleagues** are people who you work with in your own or other organisations, including your team, managers, service providers, other teams, other departments and other organisations

# Manage performance in commissioning, procurement and contracting for your area of responsibility



#### Values:

Adherence to codes of practice or conduct where applicable to your role and the principles and values that underpin your work setting, including the rights of children, young people and adults. These include the rights:

To be treated as an individual

To be treated equally and not be discriminated against

To be respected

To have privacy

To be treated in a dignified way

To be protected from danger and harm

To be supported and cared for in a way that meets their needs, takes account of their choices and also protects them

To communicate using their preferred methods of communication and language

To access information about themselves

All aspects of commissioning, procurement and contracting should seek to build on these underpinning values and should:

Respect the inherent worth and dignity of all people

Respect the human rights of children, young people and adults

Respect people's right to take positive risks

Be transparent

Be accountable

Be proportional

Be consistent

Be targeted

Be impartial

**Enable providers** 

# Manage performance in commissioning, procurement and contracting for your area of responsibility



Developed by	Skills for Care and Development
Version number	2
Date approved	February 2014
Indicative review	February 2019
date	
Validity	Current
Status	Original
Originating	Skills for Care and Development
organisation	
Original URN	CPC426
Relevant	Contract Manager; Managers and leaders with responsibility for interagency
occupations	working; Childcare and Related Personal Services; Health and Social Care; Planning Officer; Strategy Officer
Suite	Commissioning, Procurement and Contracting for Care Services
Key words	Manage; performance; area; responsibility