## Develop risk management plans to promote independence in daily living



#### **Overview**

This standard identifies the requirements when developing risk management plans to promote independence in daily living. This includes preparing to carry out risk assessments, working in partnership with individuals to carry out risk assessments and developing risk management plans with individuals and those who are important to them.

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## Performance criteria

#### Prepare to carry out risk assessments relating to daily living

#### You must be able to:

- P1 access and review records, reports, documents, plans and other information to identify existing risk management plans, the risks the **individual** is aware of or has chosen and their preferences for managing risks
- P2 consider any potential new risks or changes in the preferences or needs of the individual that may need to be taken into account when carrying out the risk assessment
- P3 take note of any further information relevant to carrying out the risk assessment, including information relating to your own safety and that of other people
- P4 seek additional advice and **extra support** from **others** within and outside your work setting to enable you to carry out risk assessment in areas that are outside your expertise
- P5 complete records, reports and any other documents needed for you to carry out the risk assessment, within confidentiality agreements and according to legal and work setting requirements

#### Work in partnership to carry out risk assessments relating to daily living

#### You must be able to:

- P6 support the individual and **key people** to understand why the risk assessment is being undertaken
- P7 agree with the individual and key people the way you will work together to carry out the risk assessment
- P8 review with the individual and key people the information you have accessed from existing risk assessments
- P9 support the individual and key people to identify risks in daily living that are new, changing or no longer relevant for maintaining their independence
- P10 support the individual and key people to consider how risk assessment and risk-taking can contribute to independence
- P11 support the individual and key people to communicate their attitude towards risk and their views about specific risks
- P12 promote active participation and take full account of the views of the individual and key people while carrying out the risk assessment
- P13 work with the individual and key people to assess the level of risks
- P14 explore with the individual and key people creative ways of managing risks
- P15 ensure that wherever possible any risks that the individual chooses to take can be taken as safely as possible rather than avoided
- P16 negotiate agreement with the individual and key people on risks that are deemed to be unacceptable
- P17 seek expert advice and guidance on risk assessment when beyond

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your experience and expertise

P18 complete records and reports on the results of the risk assessment within confidentiality agreements and according to legal and work setting requirements

## Work together to develop risk management plans that promote independence in daily living

#### You must be able to:

- P19 follow legal and work setting procedures for compiling the **risk** management plan based on completed risk assessments
- P20 agree with the individual and key people the procedures and practices for recording new risks that arise
- P21 consult with the individual and key people to agree the **resources** and procedures that need to be in place to manage the risk of accidents, injury and harm to the individual, key people and others
- P22 agree with the individual and key people how these resources and procedures will be monitored
- P23 consult with the individual and key people to agree how, when and by whom the risk management plan will be implemented and reviewed
- P24 compile the risk management plan using details agreed
- P25 make the content of the risk management plan available in a form that the individual and key people can understand
- P26 discuss the content of the risk management plan with the individual and key people to ensure they understand it
- P27 identify areas where the plan meets the individual's preferences and key people's views and those where opinions differ
- P28 make changes to the plan to meet the individual's preferences
- P29 take action in accordance with work setting requirements where differences of opinion about risk management remain
- P30 establish agreement to the risk management plan in accordance with work setting requirements
- P31 ensure the risk management plan is clearly documented in accordance with work setting requirements and in ways that the individual and key people can understand
- P32 ensure that risk management plans are regularly reviewed in accordance with legal and work setting requirements

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Knowledge and understanding	Rights	
You need to know and understand:	K1	legal and work setting requirements on equality, diversity, discrimination and rights
	K2	your role in promoting individuals' rights, choices, wellbeing and active participation
	K3	your duty to report any acts or omissions that could infringe the rights of individuals
	K4	how to deal with and challenge discrimination
	K5	the rights that individuals have to make complaints and be supported to do so
	K6	conflicts and dilemmas that may arise in relation to rights and how to address them
	Your pra	ctice
You need to know and understand:	K7	legislation, statutory codes, standards, frameworks and guidance relevant to your work, your work setting and the content of this standard
	K8	your own background, experiences and beliefs that may have an impact on your practice
	K9	your own roles, responsibilities and accountabilities with their limits and boundaries
	K10	the roles, responsibilities and accountabilities of others with whom you work
	K11	how to access and work to procedures and agreed ways of working
	K12	the meaning of person-centred/child centred working and the importance of knowing and respecting each person as an individual
	K13	the prime importance of the interests and well-being of the individual
	K14	the individual's cultural and language context
	K15	how to build trust and rapport in a relationship
	K16	how your power and influence as a worker can impact on relationships
	K17	how to work in ways that promote active participation and maintain individuals' dignity, respect, personal beliefs and preferences
	K18	how to work in partnership with individuals, key people and others
	K19	how to manage ethical conflicts and dilemmas in your work
	K20	how to challenge poor practice
	K21	how and when to seek support in situations beyond your experience

#### Theory

and expertise

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#### You need to know and

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#### understand:

- K22 the nature and impact of factors that may affect the health, wellbeing and development of individuals you care for or support
- K23 theories underpinning our understanding of human development and factors that affect it

#### Personal and professional development

## You need to know and understand:

- K24 principles of reflective practice and why it is important
- K25 your role in developing the professional knowledge and practice of others
- K26 how to promote evidence based practice

## You need to know and understand:

#### Communication

- K27 factors that can affect communication and language skills and their development in children, young people adults
- K28 methods to promote effective communication and enable individuals to communicate their needs, views and preferences

## You need to know and understand:

#### **Health and Safety**

- K29 legal and statutory requirements for health and safety
- K30 your work setting policies and practices for monitoring and maintaining health, safety and security in the work environment
- K31 practices for the prevention and control of infection in the context of this standard

## You need to know and understand:

#### Safe-guarding

- K32 legislation and national policy relating to the safe-guarding and protection of children, young people and adults
- K33 the responsibility that everyone has to raise concerns about possible harm or abuse, poor or discriminatory practices
- K34 indicators of potential harm or abuse
- K35 how and when to report any concerns about abuse, poor or discriminatory practice, resources or operational difficulties
- K36 what to do if you have reported concerns but no action is taken to address them
- K37 local systems and multi-disciplinary procedures that relate to safeguarding and protection from harm or abuse

#### You need to know and

#### **Multi-disciplinary working**

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#### understand:

K38 the purpose of working with other professionals and agencies
K39 the remit and responsibilities of other professionals and agencies involved in multi-disciplinary work

#### **Handling information**

## You need to know and understand:

- K40 legal requirements, policies and procedures for the security and confidentiality of information
- K41 legal and work setting requirements for recording information and producing reports
- K42 principles of confidentiality and when to pass on otherwise confidential information
- K43 how to record written information with accuracy, clarity, relevance and an appropriate level of detail
- K44 how and where electronic communications can and should be used for communicating, recording and reporting

## You need to know and understand:

#### **Leading practice**

- K45 theories about leadership
- K46 standards of practice, service standards and guidance relating to the work setting
- K47 national and local initiatives to promote the well-being of individuals
- K48 lessons learned from government reports, research and inquiries into serious failures of health or social care practice and from successful interventions
- K49 methods of supporting others to work with and support individuals, key people and others
- K50 how to contribute to the development of systems, practices, policies and procedures
- K51 techniques for problem solving and innovative thinking

## You need to know and understand:

#### Risk management

- K52 principles of risk assessment and risk management
- K53 principles of positive risk-taking

#### Specific to this NOS

## You need to know and understand:

- K54 how and where to access literature, information and support to inform your practice when developing risk assessments and risk management plans
- K55 the role of relationships and support networks in managing risks

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- K56 how to analyse, balance and interpret the views of individuals and key people, evidence and practice based information when developing risk management plans
- K57 specialist services that may be needed for individuals you support, including those requiring intermediate and respite care
- K58 the use of evidence based practice to justify your decisions, actions and reports in relation to risk management

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#### **Additional Information**

Scope/range related to performance criteria

The details in this field are explanatory statements of scope and/or examples of possible contexts in which the NOS may apply; they are not to be regarded as range statements required for achievement of the NOS.

Note: Where an individual finds it difficult or impossible to express their own preferences and make decisions about their life, achievement of this standard may require the involvement of advocates or others who are able to represent the views and best interests of the individual.

Where there are language differences within the work setting, achievement of this standard may require the involvement of interpreters or translation services.

**Active participation** is a way of working that regards individuals as active partners in their own care or support rather than passive recipients. Active participation recognises each individual's right to participate in the activities and relationships of everyday life as independently as possible

**Extra support** may include the use of colleagues and other professionals or specialist equipment to aid risk assessment

The **individual** is the adult, child or young person you support or care for in your work

**Key people** are those who are important to an individual and who can make a difference to his or her well-being. Key people may include family, friends, carers and others with whom the individual has a supportive relationship

**Others** are your colleagues and other professionals whose work contributes to the individual's well-being and who enable you to carry out your role

**Resources** may include equipment, assistive technology or people providing formal or informal support

**Risk management plan** is a plan which sets out how identified risks can and should be managed, taking account of the individual's strengths, resources, preferences, needs and attitude to risk

**Risks in daily living** may include those associated with people who may access the individual's home and other hazards which could result in accidents, falls, danger, harm, abuse or injury to the individual, key people, and others

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## Scope/range related to knwoledge and understanding

The details in this field are explanatory statements of scope and/or examples of possible contexts in which the NOS may apply; they are not to be regarded as range statements required for achievement of the NOS.

## All knowledge statements must be applied in the context of this standard.

# Factors that may affect the health, wellbeing and development of individuals may include adverse circumstances or trauma before or during birth; autistic spectrum conditions; dementia; family circumstances; frailty; harm or abuse; injury; learning disability; medical conditions (chronic or acute); mental health; physical disability; physical ill health; poverty; profound or complex needs; sensory needs; social deprivation; substance misuse

#### **Values**

Adherence to codes of practice or conduct that may be applicable to your role, and the principles and values that underpin your work setting including the rights of children and adults. These include the rights that individuals have:

To be treated as an individual

To be treated equally and not be discriminated against

To be respected

To have privacy

To be treated in a dignified way

To be protected from danger and harm

To be supported and cared for in a way that meets their needs, takes account of their choices and also protects them

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To communicate using their preferred methods of communication and language

To access information about themselves

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