

## SFJODPS6.4.1

### Contribute to setting the compliance risk strategy



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#### Overview

This unit concerns the team effort that goes into setting the compliance risk strategy. You will be dealing with known and new potential risks that might impact on customers, representative bodies, your organisation and other government Departments. You will need to address political, social, legal and economic considerations to ensure that your recommendations align with government's and your organisation's priorities and that the strategy promotes the need to comply with relevant proprieties. Your quantifiable success criteria should reflect your available resources.

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#### Performance criteria

*You must be able to:*

- P1 follow organisational procedures at all times
- P2 maintain your own personal safety
- P3 work in a way that ensures the safety of others
- P4 base your recommendations on an analysis of relevant data
- P5 align your recommendations with government's and the Department's/agency's priorities
- P6 ensure the strategy promotes the need to comply with proprieties in your recommendations
- P7 ensure your recommendations include quantifiable success criteria in line with your resources
- P8 ensure that other relevant stakeholders' views have been taken into account in your recommendations
- P9 present your recommendations in the appropriate format within the agreed timescale

## SFJODPS6.4.1

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#### Knowledge and understanding

*You need to know and understand:*

- K1 the requirements of organisational procedures
- K2 the legal requirements for health and safety
- K3 your organisation's health and safety requirements
- K4 the organisation's priorities and objectives
- K5 your performance targets
- K6 the business planning cycle
- K7 the relevance and availability of data
- K8 trends in intelligence-led information and different forms of non-compliance
- K9 developments in policy and legislation in the UK, EU and internationally
- K10 possible gaps in the organisation's procedures
- K11 the available resources and any constraints attached to them
- K12 the possible impact of your recommendations on your resources
- K13 where to go for specialist advice
- K14 what are the relevant proprieties and their requirements (e.g. Human Rights Act (HRA), diversity etc)
- K15 who your stakeholders are and their business needs
- K16 possible political, media and public reaction to the strategy

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#### Additional Information

##### Scope/range related to performance criteria

Different conditions which affect how you apply your knowledge, and in which you must demonstrate competence are:

1. risks: known; new potential risks
2. impact on: customers; representative bodies; the Department/agency; other government Departments
3. considerations: political; social; legal; economic

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