

SFJODPS6.4.7

Contribute to the evaluation of the effectiveness of the compliance risk operational plan



Overview

This unit concerns your contribution to the evaluation of the effectiveness of the compliance risk operational plan within your area of responsibility. Your scope covers the implications for risk and the implications for compliance. You will need to use appropriate qualitative and quantitative evaluation methods, identify the implications of your findings and make recommendations which align with business objectives and priorities.

SFJODPS6.4.7

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Performance criteria

You must be able to:

- P1 follow organisational procedures at all times
- P2 maintain your own personal safety
- P3 work in a way that ensures the safety of others
- P4 base your conclusions on an analysis of relevant and accurate information
- P5 use an evaluation method that is capable of meeting your evaluation objectives
- P6 build the feedback of stakeholders into your evaluation
- P7 identify implications of your findings
- P8 gain acceptance to the validity of your findings
- P9 make recommendations for improvements that are based on your evaluation and are aligned with business objectives and priorities
- P10 communicate the results of the evaluation and your recommendations to relevant stakeholders

SFJODPS6.4.7

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Knowledge and understanding

You need to know and understand:

- K1 the requirements of organisational procedures
- K2 the legal requirements for health and safety
- K3 your organisation's health and safety requirements
- K4 the organisation's priorities, objectives and targets
- K5 the requirements of the compliance risk strategy
- K6 how to establish relevant evaluation criteria and assess options against them
- K7 the likely impact and uses of the evaluation findings on business objectives
- K8 how to obtain the information needed to carry out the evaluation
- K9 how to validate information and its sources
- K10 your available resources and any constraints
- K11 who the relevant stakeholders are and their business needs
- K12 the benefits and uses of different evaluation methods

SFJODPS6.4.7

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Additional Information

Scope/range related to performance criteria

Different conditions which affect how you apply your knowledge, and in which you must demonstrate competence are:

1. resources: time; equipment; IT; data
2. scope: implications for risk; implications for compliance
3. method: qualitative; quantitative

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